



16805 U.S. PTO

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In the application of Lee Weng, et al.

Examiner: Not yet assigned

For: **ULTRASOUND TRANSDUCERS FOR  
IMAGING AND THERAPY**

Group Art Unit: Not yet assigned

Application Serial No.: Not Yet Assigned

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(PTO/SB/05 (03-01))

Filed: February 17, 2004

Attorney Docket No.: THRSP-0005-0002

22141 U.S. PTO  
10/780405

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: <b>Mail Stop Patent Application</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages: <u>34</u>] <i>Preferred arrangement set forth below</i></p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table,</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s). <i>See 35 U.S.C. 113</i> [Total Sheets: <u>13</u>]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total Pages: <u>   </u>]</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i></li><li>c. <input type="checkbox"/> DELETION OF INVENTOR(S)<ul style="list-style-type: none"><li>i. Signed statement attached deleting inventor(s) named in the prior application, <i>see 37 CFR 1.63(d)(2) and 1.33(b).</i></li></ul></li></ul> <p>6. <input type="checkbox"/> Application Data Sheet. <i>See 37 CFR 1.76</i></p> <p>7. <input type="checkbox"/> CD ROM or CDR In Duplicate</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence <i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Form</li><li>b. Specification Sequence Listing On:<ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD ROM or CD-R (2X); or</li><li>ii. <input type="checkbox"/> Paper</li></ul></li><li>c. <input type="checkbox"/> Statements verifying identity of above copies</li></ul>	<p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers <i>(Cover sheet &amp; document(s))</i></p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Atty</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement <i>(PTO-1449)</i> <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard <i>(MPEP 503)</i> <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Non-publication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: .....</p>

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☒ Divisional

☐ Continuation-in-part (CIP)

Prior application information:

of prior application No.: 09/747,310

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Group Art Unit: 3737

Examiner: Lin, Jeoyuh

19. Correspondence Address:

☒ Customer No. 36,308

Insert Customer No. or Affix  
Customer No. Label Here

☐ OR Address Below

Correspondence Address: Sheldon K. Lee  
Associate GC  
Therus Corporation  
2401 4<sup>th</sup> Ave.; Suite 200  
Seattle, CA 98121

20. Respectfully Submitted By:

Name: Sheldon K. Lee

Attorney Registration No.: 53,197

Signature:



Date:

February 17, 2004

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Mail Stop Patent Application, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450 on February 17, 2004.

Date: February 17, 2004

Signature

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**FREE TRANSMITTAL  
for FY 2003**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 490

**Complete if Known**

Application Number	Not yet assigned
Filing Date	February 17, 2004
First Named Inventor	Lee Weng, et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket Number	THRSP 0005-0002

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number

502668

Deposit Account Name

Therus Cornoration

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	375
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					375

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** = 8	9	72
Independent Claims 4	-3** = 1	43	43
Multiple Dependent 0			0
1205 18	9 ** Reissue claims in excess of 20 and over original patent		
2205			
SUBTOTAL (2)			115

\*\*or number previously paid. If greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of design application	

Other Fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

0

**SUBMITTED BY**

(Complete (if applicable))

Name (Print/Type)	Sheldon K. Lee	Registration No. (Attorney/Agent)	53,197	Telephone	(206) 832-4636
Signature		Date	August 4, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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